

THE HONORABLE THOMAS S. ZILLY

UNITED STATES DISTRICT COURT  
WESTERN DISTRICT OF WASHINGTON  
AT SEATTLE

FAN WANG and HANG GAO, Individually  
and on Behalf of All Others Similarly  
Situating,

Plaintiffs,

v.

ATHIRA PHARMA, INC.; and LEEN  
KAWAS,

Defendants.

HARSHDEEP JAWANDHA, Individually  
and on Behalf of All Others Similarly  
Situating,

Plaintiff,

v.

ATHIRA PHARMA, INC.; DR. LEEN  
KAWAS; GLENNA MILESON;  
TADATAKA YAMADA; JAMES A.  
JOHNSON; JOSEPH EDELMAN; JOHN M.  
FLUKE, JR.; GOLDMAN SACHS & CO.  
LLC; JEFFERIES LLC; STIFEL,  
NICOLAUS & COMPANY,  
INCORPORATED; and JMP SECURITIES  
LLC,

Defendants.

CASE NO.: 2:21-cv-00861-TSZ  
(Consolidated with 21-cv-00862-TSZ and  
21-cv-00864-TSZ)

**DECLARATION OF JOHN C. ROBERTS  
JR. IN SUPPORT OF DEFENDANTS'  
REPLY IN SUPPORT OF MOTION TO  
DISMISS CONSOLIDATED AMENDED  
COMPLAINT**

1 TIMOTHY SLYNE and TAI SLYNE,  
2 Individually and on Behalf of All Others  
3 Similarly Situated,

4 Plaintiffs,

5 v.

6 ATHIRA PHARMA, INC.; LEEN KAWAS,  
7 Ph.D.; GLENNA MILESON, TADATAKA  
8 YAMADA, M.D.; JOHN M FLUKE JR.;  
9 JAMES A. JOHNSON; JOSEPH EDELMAN,  
10 GOLDMAN SACHS & CO. LLC;  
11 JEFFERIES LLC; STIFEL, NICOLAUS &  
12 COMPANY, INCORPORATED; and JMP  
13 SECURITIES LLC,

14 Defendants.

1 I, John C. Roberts Jr., declare as follows:

2 1. I am an attorney of the law firm Wilson Sonsini Goodrich & Rosati, P.C., counsel  
3 for Defendants Athira Pharma, Inc. ("Athira"), Glenna Milesen, John M. Fluke Jr., James A.  
4 Johnson, and Joseph Edelman. I am licensed to practice law before the Courts of the State of  
5 Washington. I am over eighteen years of age and have personal knowledge of the facts set forth  
6 herein and, if called as a witness, I would testify competently thereto.

7 2. Attached hereto as **Exhibit 5** is a true and accurate copy of Dr. Leen Kawas's  
8 resignation letter, distributed to Athira employees and dated October 21, 2021. Exhibit 5 is  
9 specifically cited in the Consolidated Amended Complaint for Violations of the Federal Securities  
10 Laws, ECF No. 74, at ¶¶5, 6, 98, and forms a significant part of the basis for Plaintiffs' claims.

11 I declare under penalty of perjury under the laws of the United States of America that the  
12 foregoing is true and correct.

13 Executed this 6th day of June, 2022 at Bainbridge Island, Washington.

14 s/ John C. Roberts Jr.

15 John C. Roberts Jr., WSBA #44945

16 **WILSON SONSINI GOODRICH & ROSATI, P.C.**

17 701 Fifth Avenue, Suite 5100

18 Seattle, WA 98104-7036

19 Telephone: (206) 883-2500

20 Email: jroberts@wsgr.com

21 *Attorney for Defendants Athira Pharma, Inc.,*  
22 *Glenna Milesen, John M. Fluke Jr., James A.*  
23 *Johnson, and Joseph Edelman*  
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